



2875

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Serial No.: 10/074,362

Examiner: Negron, Ismael

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101106

TRANSMITTAL SHEET

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 15th day of August, 2003.

By: \_\_\_\_\_

Brian N. Tufte

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement, Form PTO-1449 and 18 cited references.
- ☒ Certification for Information Disclosure Statement.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Brian N. Tufte, Reg. No. 38,638  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2402  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

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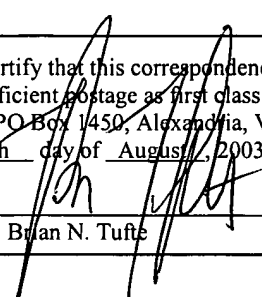
For: LIGHTING APPARATUS

Docket No.: 1076.1101106

**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.97(e)**

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,  
on this 15th day of August, 2003.

By:   
Brian N. Tufte

Dear Sir/Madam:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.

2. I, the person(s) signing below certify:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

Dated: August 15, 2003

  
Brian N. Tufte, Reg. No. 38,638  
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Serial No.: 10/074,362

Examiner: Negron, Ismael

Filing Date: February 12, 2002

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**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 15th day of August, 2003.

By: Brian N. Tufte

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

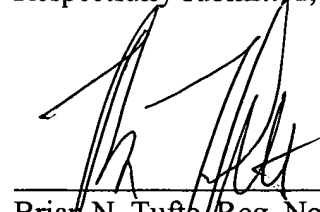
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached

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Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.


Respectfully submitted,

Dated: August 15, 2003



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 <b>FORM PTO-1449</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR</b> <b>APPLICANT'S INFORMATION</b> <b>DISCLOSURE STATEMENT</b>	Atty. Docket No.: 1076.1101106	Serial No.: 10/074,362
	Applicant: Brian N. Tufte	
	Filing Date: February 12, 2002	Group Art: 2875

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	2,339,085	01/1944	Luckiesh, Matthew			
	2,930,885	03/1960	Ehrenfreund, Herbert A.			
	3,612,848	10/1971	Koch et al.			
	3,675,527	07/1972	Reeder, Jr., George, H.			
	4,955,044	09/1990	Amstutz et al.			
	5,469,342	11/1995	Chien, Tseng L.			
	5,475,574	12/1995	Chien, Tseng-Lu			
	5,566,384	10/1996	Chien			
	5,570,945	11/1996	Chien et al.			
	5,611,621	03/1997	Chien, Tseng-Lu			
	5,746,501	05/1998	Chien, Tseng Lu			
	5,871,269	02/1999	Fjaestad et al.			
	5,873,646	02/1999	Fjaestad et al.			
	5,917,288	06/1999	Feldman et al.			
	6,033,085	03/2000	Bowker, James W.			
	6,082,867	07/2000	Chien, Tseng-Lu			
	6,179,431	01/2001	Chien, Tseng-Lu			
	6,270,229	08/2001	Chien, Tseng-Lu			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.